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PTO/SB/05 (08-00)

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 12947.0002
First Inventor Neil S. Fox
Title US-Sensitive Marking Composition
Express Mail Label No. EV 333 424 898 US

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification (Total Pages ☐
(prepared arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets ☐

5. Oath or Declaration [Total Pages ☐a. ☐ Newly executed (original or copy)
b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 17 completed)

6. ☒ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/873,027

Prior application information:

Examiner: Veronica F. Faison

Group / Art Unit: 1755

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

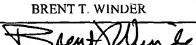
18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name Brent T. Winder
Jones Waldo Holbrook & McDonough
Address 170 South Main Street, Suite 1500
City Salt Lake City State Utah Zip Code 84101-1644
Country USA Telephone 801 521-3200 Fax 801 328-0537

Name (Print/Type) BRENT T. WINDER Registration No. (Attorney/Agent) 46,250
Signature  Date 9/17/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

10/655239
09/17/03

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 519

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Neil S. Fox
Examiner Name	
Group Art Unit	
Attorney Docket No.	12947.0001

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 50-1723

Deposit Account Name: Jones Waldo Holbrook & McDonough

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.125(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.125(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3) (\$)					0

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	375
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1) (\$)					375

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee below	Fee Paid
36	20** = 16	9	144
2	- 3** = 0	42	0
Multiple Dependent			0

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 144

*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY

Name (Print/Type)	Brent T. Winder	Registration No. (Attorney/Agent)	46,250	Telephone	801 521-3200
Signature	<i>Brent T. Winder</i>	Date	9/17/03		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**JONES
WALDO**
HOLBROOK &
MCDONOUGH PC

DIVISIONAL PATENT APPLICATION
Docket No. 12947.0002.DIV
EXPRESS MAIL LABEL: EV 333 424 898 US
ATTORNEYS & COUNSELORS
EST. 1875

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAIL STOP PATENT APPLICATION
Assistant Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir/Madam:

Transmitted herewith for filing is the provisional patent application of Neil S. Fox and Christopher P. Finke for A UV- SENSITIVE MARKING COMPOSITION comprising 22 pages of specification and claims.

Enclosed also are:

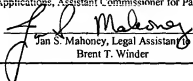
- ☒ Divisional Utility Patent Application Transmittal
- ☒ Fee Transmittal for FY 2001
- ☒ A Certificate of Mailing by "Express Mail" certifying a filing date of September 17, 2003, by use of Express Mail Label No. EV 333 424 898 US.
- ☒ Copy of Declaration, Power of Attorney & Petition
- ☒ Return Postcard
- ☒ Copy of Assignment

EXPRESS MAIL LABEL NO.: EV 333 424 898 US

CERTIFICATE OF DEPOSIT

DATE OF DEPOSIT September 17, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is address to: Box Patent Applications, Assistant Commissioner for Patents, Washington, D.C. 20231


Jan S. Mahoney, Legal Assistant
Brent T. Winder

The filing fee has been calculated as shown below.

			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$375		\$740
TOT. CLAIMS	36 -20 =	16	X 9 =	\$144	X 18 =	\$
IND. CLAIMS	-30 =		X 42 =	\$	X 84 =	\$
MULTIPLE DEPENDENT CLAIMS PRESENTED						
ASSIGNMENT FILING FEE			\$40		\$40	
			TOTAL	\$519	TOTAL	

- ☒ Check for \$519.00
- ☒ Information Disclosure Statement with attached patent references
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1723, and any additional filing fees required under 37 C.F.R. § 1.16.

Please address all future correspondence in connection with the above-identified patent application to the attention of the undersigned.

Dated this 16th day of September, 2003.

Respectfully submitted,



Brent T. Winder
Attorney for Applicant
Registration No. 46,250

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BTW/jsm
Docket: 12947.0002.DIV
Enclosures

CERTIFICATE OF MAILING BY EXPRESS MAIL

"Express Mail" Mailing Label No. * EV 333 424 898 US

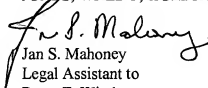
Date of Deposit: * September 17, 2003

I hereby certify that the enclosed new patent application entitled "A UV-Sensitive Marking Composition", along with the accompanying documents – Divisional Patent Application for Patent Cover Sheet; Fee Transmittal for FY 2001; Divisional Utility Application Cover Sheet; Information Disclosure Statement and related prior art; a return postcard, and a transmittal letter to the Patent Office, in the name of Aerove-Pacific – is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. Section 1.10 on the date indicated above in an envelope addressed to the Assistant Commissioner of Patents, Box Patent Applications, Washington, D.C. 20231.

Respectfully submitted this 17th day of September, 2003.

Respectfully submitted,

JONES, WALDO, HOLBROOK & McDONOUGH



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Attorney Docket No. 12947.0002